



Clarksons Platou Securities AS

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SELF-DECLARATION FORM IN RELATION TO FATCA AND CRS

INFORMATION OF THE ACCOUNT HOLDER

Name/Company name:	
Social security no (11 digits) / Enterprise reg. no:	
LEI number (Legal Entity Identifier):	
Address:	
Country:	
Telephone and e-mail address:	

INFORMATION OF THE COUNTRY WHERE THE ACCOUNT HOLDER IS TAX RESIDENT

Please fill in all countries where the account holder is tax resident, including Norway. If you have any questions about how the tax residency is determined, please contact your local tax office or check skatteetaten.no

Address:	
Citizenship:	
Tax Country:	
TIN (Taxpayer Identification Number):	

BENEFICIAL OWNERS (FOR LEGAL ENTITIES ONLY)

Are you, or any beneficial owner, a US citizen? If yes, fill in the information below.

Yes No

Does the legal entity have beneficial owners tax resident in other countries than Norway? If yes, fill in the information below.

Yes No

Name owner 1:	Social security number/TIN:	Tax Country:	Citizenship:
Name owner 2:	Social security number/TIN:	Tax Country:	Citizenship:
Name owner 3:	Social security number/TIN:	Country:	Ownership:

If the entity has more than three beneficial owners, please send a separate sheet with the information requested.



SELF-DECLARATION AND SIGNATURE

I hereby confirm that the information given in this self-declaration form is correct and that I hereby give the information and documentation required to enable Clarksons Platou Securities AS to fulfil its obligations in relation to FATCA and CRS.

I understand and agree that the information can be used for reporting purposes in accordance with the laws and regulations in force at any time.

If there are changes in the information, I will contact Clarksons Platou Securities AS to update the self-declaration form.

Place/Date: _____

Signature: _____

Name in capital letters: _____

Title (if applicable) _____



GUIDANCE AND DEFINITIONS

As Norway has signed international agreements to report tax information, FATCA and CRS, we are required to provide information to the Norwegian Tax Administration about customers who are tax resident in one of the countries that have signed the above-mentioned agreements. The Tax Administration forwards this information to the respective countries.

All information we receive in this connection is treated confidentially in accordance with our duty of confidentiality and will not be used for any other purposes.

As Clarkson's Platou Securities AS cannot provide tax advice, we ask you to contact your local tax authorities if you have questions on how to complete the self-declaration form. For more information about international tax reporting, see the website of the Norwegian Tax administration: International reporting (CRS and FATCA).

What is the FATCA agreement?

As Norway and the United States have entered into an agreement on the Foreign Account Tax Compliance Act (FATCA), DNB is, as a financial institution, required to report financial assets to the Norwegian tax authorities, which will forward the information to the US tax authorities.

US citizens are resident for tax purposes in the US and will be reported on the basis of their citizenship.

What is the CRS agreement?

The Common Reporting Standard (CRS) is an international framework for the automatic exchange of information on financial assets between tax authorities in different countries. More than 100 countries have signed the agreement, including Norway and all EU member states.

Tax residence

In Norway you will be deemed to be a tax resident if you have stayed in the country for more than 183 days in the course of a 12-month period, or for more than 270 days during a 36-month period. If you live outside Norway, you will usually be tax resident in the country you live in. If you are in doubt about whether you are tax resident in a country, you must contact the tax authorities in the relevant country to clarify this.

Tax Identification Number, TIN

This is the number used by the tax authorities and other agencies to identify individual persons. The number will typically be found on documents received from the tax authorities, but can in some cases also be found in passports, national ID cards, driver's licences and other official documents.

Information about the various countries' TIN numbers can be found at www.oecd.org.

LEI code

LEI is a 20-character alphanumeric code assigned to uniquely identify a legal entity that is a counterparty to a financial transaction. A LEI must be obtained from an authorized LEI issuer and is mandatory from and including 3 January 2018 in order to trade through regulated investment firms in the EU/EEA. For more information please refer to <https://www.nordlei.org/about-lei-qa>